

MAIL STOP FEE AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



36734

Re: Our File: WAVE 3.0-009

Group Art Unit:

Applicant: SMITH

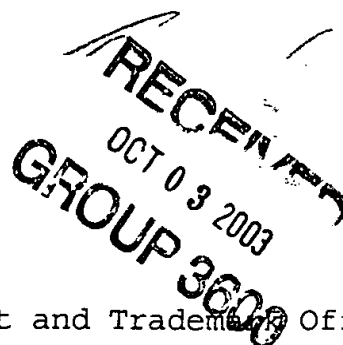
Serial No.: 10/002,601

Examiner:

Filed: 11/01/01

For: RIBBED MODULE FOR  
WAVE ENERGY DISPERSION

Batch No.:



Dear Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Law Firm Transmittal Letter <u>COPY</u>  | <input type="checkbox"/> Letter/Official Draftsmen  |
| <input checked="" type="checkbox"/> <del>Response</del> /Amendment           | <input type="checkbox"/> Petition   |
| <input type="checkbox"/> Affidavit/Declaration                               | <input type="checkbox"/> Request for Ext. of Time   |
| <input type="checkbox"/> Notice of Appeal                                    | <input type="checkbox"/> Small Entity Statement(s)  |
| <input type="checkbox"/> Assignment and cover sheet                          | <input checked="" type="checkbox"/> Postcard acknowledging receipt of above-identified material |
| <input type="checkbox"/> Certificate of Correction                           |   |
| <input checked="" type="checkbox"/> Check No. <u>6008</u> - \$ <u>465.00</u> |   |
| <input type="checkbox"/> Claim of Priority - Cert'd Copies                   |   |
| <input type="checkbox"/> Communication                                       | <input checked="" type="checkbox"/> <u>AMENDMENT</u><br><u>TRANSMITTAL</u>                      |
| <input type="checkbox"/> Drawings <u>    </u> sheets -                       |   |
| <input type="checkbox"/> Formal/Informal                                     |   |
| <input type="checkbox"/> Issue Fee/Maintenance Fee                           |   |
| <input type="checkbox"/> Information Disclosure Statement; Reference, Tabs - |   |

Conditional Petition and Fee for Extension of Time: If any extension of time for the accompanying response is required, applicant requests that this be considered a petition therefor.

In connection with the above-identified matter, please charge any additional fees or any other charges related to this matter to the deposit account of the writer, No. 23-0812.

Respectfully submitted,

Enclosures

DATED: 9/24/03

Edward R. Weingram  
Registration No. 24,493  
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Maywood, NJ 07607  
TEL: (201) 843-6300  
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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS, ALEXANDRIA, VA 22313-1450 ON

DATE: 9/24/03

(Signature)

PTFORMS\PTOCVRLT1.ERW

Practitioner's Docket No. WAVE 3.0-009**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: DENNIS G. SMITH

Application No. 1 0 /002,601 Group No.: 3673

Filed: Nov. 1, 2001

Examiner: MICHAEL SAFAVI

For: RIBBED MODULE FOR WAVE ENERGY DISPERSION

Assistant Commissioner for Patents  
Washington, D.C. 20231**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

**STATUS**

2. Applicant is

☒ X a small entity. A statement:☐ is attached.☒ was already filed.☐ other than a small entity.**RECEIVED**  
OCT 03 2003  
IGROUP 3600**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**☒ X deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.Date: 9/24/03**FACSIMILE**☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Edward R. Weingram

(type or print name of person certifying)

## EXTENSION OF TERM

**NOTE:** "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

**NOTE:** See 37 C.F.R. § 1.645 for extensions of time in interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. § 1.136  
(fees: 37 C.F.R. § 1.17(a)(1)-(4) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input checked="" type="checkbox"/> three months	\$ 890.00	\$ <del>445.00</del> 465.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

Fee: \$ 465.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$\_\_\_\_\_

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this is a conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]—page 2 of 4)

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE ADDIT. FEE	RATE ADDIT. FEE
TOTAL *	MINUS **	=	x\$9 = \$	x\$18 = \$
INDEP. *	MINUS ***	=	x\$40 = \$	x\$80 = \$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+ \$135 = \$	+ \$270 = \$
			TOTAL ADDIT. FEE \$	OR TOTAL ADDIT. FEE \$

- \* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. § 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$     -0-    .

**FEE PAYMENT**

- ☒ Attached is a ☒ check ☐ money order in the amount of \$ 465.00  
☐ Authorization is hereby made to charge the amount of \$                       
☐ to Deposit Account No.                       
☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should not be included on this form as it may become public.

- ☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account  
No. 23-0812

**AND/OR**

- ☐ If any additional fee for claims is required, charge Account  
No. \_\_\_\_\_

Reg. No.: 24,493

Tel. No.: (201) 843-6300

Customer No.: 28885

  
SIGNATURE OF PRACTITIONER

Edward R. Weingram

(type or print name of practitioner)

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MAYWOOD, N.J. 07607

(Amendment Transmittal [9-19]—page 4 of 4)

Wave 3.0-009  
3i24dl01.wav



PATENT

#7  
3mm  
EOT  
Amata  
JB  
10/15/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dennis G. Smith  
Serial No.: 10/002,601  
Date of Filing: November 1, 2001  
For: Ribbed Module for Wave Energy Dispersion

Examiner: Michael Safavi  
Group Art Unit: 3673

Mail Stop Fee Amendment  
Commissioner for Patents  
P.O. BOX 1450  
Alexandria, Virginia 22313-1450

AMENDMENT IN RESPONSE TO FIRST OFFICE ACTION

Sir:

In response to the Office Action dated March 25, 2003, the period for response having been extended by the enclosed Petition and fee for extension of time, please amend the claims in the above identified application as follows:

10/01/2003 WASFAW1 00000083 10002601

01 72:2253

465.00 0P